OIPE JC46 DEC 08 2003 PATENT & TRADEMARK OFFICE

PTO/SB/21 (08-03)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/678,984
Filing Date	10/02/03
First Named Inventor	Jeffrey C. Herold
Art Unit	Unassigned
Examiner Name	Unassigned
Total Number of Pages in This Submission	
Attorney Docket Number	033905-000026

ENCLOSURES *(check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) ____
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) *(please identify below)*:
  Postcard
  1449 3pgs.
  3 pieces of Prior Art

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm *or* Individual name	Adrienne Yeung, Reg. No. 44,000
Signature	
Date	December 2, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Ruth Rodriguez		
Signature		Date	December 3, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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DEC 08 2003
JC46
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Jeffrey C. Herold
SERIAL NO.:	10/678,984
FILING DATE:	October 2, 2003
TITLE:	Travel Bag Having Restraint Device for Golf Club Sets
EXAMINER:	Unassigned
ART UNIT:	Unassigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450, on the date printed below:

Date: December 3 2003 Name: Ruth Rodriquez

COMMISSIONER FOR PATENTS
P.O. Box 1450, ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

II

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).
This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. § 1.97(c).
This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) ($180); or a certification according to 37 C.F.R. § 1.97 (e).

( ) 37 C.F.R. § 1.97(d).
This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) ($180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

III

(X) No fee is due.

( ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed ($180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

IIII

Pursuant to 37 C.F.R. § 1.97(e) , I certify:

(X) No certification is necessary.

( ) (1) Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information statement; or

( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: December 2, 2003

Adrienne Yeung
Reg. No. 44,000

THELEN REID & PRIEST LLP
P.O. Box 640640
San Jose, CA 95164-0640
(408) 292-5800 direct dial
(408) 287-8040 direct fax

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PATENT & TRADEMARK OFFICE

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 033905-000026	Serial No. 10/678,984
Information Disclosure Statement by Applicant	Applicant: Jeffrey C. Herold	
(Use several sheets if necessary)	Filed: 10/02/03	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	A	Des. 280,362	09/03/85	James H. Allen			
	B	Des. 296,955	08/02/88	Janney et al			
	C	1,859,970	05/24/32	A. Kaufmann			
	D	3,125,854	03/24/64	J.J. Skowron, SR			
	E	3,128,854	04/14/64	J.K. Specht			
	F	3,471,163	10/07/69	M.L. Swara			
	G	3,998,304	12/21/76	Edgerton, Jr. et al			
	H	4,059,464	11/22/77	Geller et al			
	I	4,301,849	11/24/81	Litwack et al			
	J	4,729,460	03/08/88	Hyun S. Kim			
	K	5,105,919	04/21/92	Bomes et al			
	L	5,109,961	05/05/92	Mady I. Bergman			
	M	5,289,906	03/01/94	Pierino Guidi			
	N	5,307,908	05/03/94	Shyr et al			
	O	5,374,073	12/20/94	Hsieh Hung-Hsin			
	P	5,431,262	07/11/95	Rekuc et al			
	Q	5,447,261	09/05/95	Mitomi et al			
	R	5,105,919	04/21/92	Bomes et al			
	S	5,374,073	12/20/94	Hsieh Hung-Hisin			
	T	5,524,737	06/11/96	King-Sheng Wang			
	U	5,743,363	04/28/98	Richard J. Rekuc			

Foreign Documents

Init.		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No
	V	JP 621 78 26	1994-08-09	Japan			X	

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

DEC 08 2003
PATENT & TRADEMARK OFFICE

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Information Disclosure Statement by Applicant	Applicant: Jeffrey C. Herold	
(Use several sheets if necessary)	Filed: 10/02/03	Group: Unassigned

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	W	5,515,897	5/96	Fehan			
	X	5,383,505	1/95	Corasco, Jr			
	Y	5,443,156	8/95	Shioda			
	Z	4,752,004	6/88	Very			
	A1	2,718,251	9/55	Barbato			
	B1	3,985,171	10/76	Summers et al			
	C1	4,905,827	3/90	Kim			
	D1	1,325,692	12/19	Cross			
	E1	3,316,951	5/67	Jacobson			
	F1	101,041	08/16	Nisbet			
	G1	5,265,894	11/93	Dunn			
	H1	4,953,768	9/90	Muse			
	I1	4,911,465	3/90	Haur			
	J1	4,657,135	4/87	Kjose			
	K1	2,602,676	7/52	Fieldhouse			
	L1	5,071,147	12/91	Stansburry			
	M1	5,470,095	11/95	Bridges			
	N1	5,456,342	10/95	Rekuc et al			
	O1	5,498,010	5/96	Stube			
	P1	3,418,005	12/68	Allina			
	Q1	5,033,759	07/91	Wix, John H			

Foreign Documents **Translation**

		Document No.	Date	Country	Class	Subclass	Translation Yes	Translation No
	R1	DE 3400956	7/85	Germany			X	
	S1	FR 2590458	5/87	France			X	

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 033905-000026	Serial No. 10/678,984
Information Disclosure Statement by Applicant (Use several sheets if necessary)	Applicant: Jeffrey C. Herold	
	Filed: 10/02/03	Group: Unassigned

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U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
	T1	5,112,068	5/92	Liao et al			
	U1	5,244,219	9/93	Hadlum, Sidney R			
	V1	3,158,299	11/64	Wier et al			
	W1	3,693,849	09/72	Knabenbauer, Melvis K.			
	X1	4,596,397	6/86	Conti, Laura J.			
	Y1	4,934,573	6/90	Jaeger, Knut			
	Z1	5,634,576	6/97	Arbel, Itai			
	A2	5,575,362	11/96	Franklin et al			
	B2	5,743,447	4/98	McDerrmott, Virginia B.			
	C2	5,295,565	3/94	Latshaw, Ricky			
	D2	5,749,503	5/98	Wulf et al			
	E2	2,868,559	1/59	Vincelette			
	F2	4,792,152	12/88	Carolan, Declan T.			
	G2	5,215,318	6/93	Capraro, Anthony L.			
	H2	6,068,271	5/00	Lustica, Willaim Joseph			
	I2	5,632,496	5/97	Nelson, Alan F.			
	J2	1,555,772	9/25	Stripe			
	K2	2,466,160	4/49	Deorrlamm			
	L2	5,443,156	8/95	Shioda			

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.